Tilman Imm

Der finanz- und kapitalmarktrechtliche Gleichwertigkeitsmechanismus

Zur Methode der Substitution in Theorie und Praxis

[The Equivalence Mechanism in Financial and Capital Markets Law. On the Method of Substitution in Theory and Practice.]

2020. XV, 256 pages. SchrUKmR 77

ISBN 978-3-16-159351-2
DOI 10.1628/978-3-16-159351-2
eBook PDF 79,00 €

ISBN 978-3-16-159350-5
sewn paper 79,00 €

Published in German.
The concept of equivalence, or substituted compliance, is of considerable relevance in current financial market and securities law. This is a regulatory mechanism which, roughly speaking, works as follows: a rule provides for favourable legal consequences – such as the registration of an investment services company – in the event that its object of regulation is already achieved in an equivalent manner by the regulations of another standard-setting body. Numerous implementations of this mechanism are to be found in the European Union's regulations on third countries, which have become increasingly significant against the backdrop of Brexit. So far, however, there is a lack of clarity about various aspects of equivalence. Tilman Imm's study shows that the widespread equivalence rules are cases of legally provided substitution and demonstrates the practical consequences of this finding.

Tilman Imm Geboren 1992; Studium der Rechtswissenschaften in Freiburg im Breisgau; 2017 Erste Juristische Prüfung; wissenschaftlicher Mitarbeiter am Institut für ausländisches und internationales Privatrecht, Abt. 3 an der Universität Freiburg im Breisgau; seit 2020 Referendariat am OLG Düsseldorf.

Order now:
https://www.mohrsiebeck.com/en/book/der-finanz-und-kapitalmarktrechtliche-gleichwertigkeitsmechanismus-9783161593512?no_cache=1
order@mohrsiebeck.com
Phone: +49 (0)7071-923-17
Fax: +49 (0)7071-51104

Mohr Siebeck

Mohr Siebeck GmbH & Co. KG
Postfach 2040
D-72010 Tübingen
info@mohrsiebeck.com
www.mohrsiebeck.com